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SECURITIES LITIGATION AND REGULATORY ATTORNEY DONALD MCBRIDE JOINS ARMSTRONG TEASDALE

Armstrong Teasdale is pleased to announce that <u>Donald D. McBride</u> has joined the firm's St. Louis office as Partner in its <u>Securities Regulation and Litigation</u> practice. For nearly two decades, Don has focused his practice on representing broker-dealers, registered investment advisers and individual investment professionals in a wide array of litigation and regulatory matters throughout the United States. He has extensive experience defending customer disputes in federal and state courts, as well as in arbitrations before the Financial Industry Regulatory Authority (FINRA).

McBride has defended his clients in a broad scope of customer claims including suitability, fraud, churning, overconcentration, negligence, breach of fiduciary duty, and state and federal securities law violations. He also routinely obtains orders enforcing pre-dispute arbitration agreements, files interpleaders to avoid competing and adverse claims, and utilizes the defenses in the Uniform Trust Code, Non-Probate Transfers Act, and other statutes to obtain satisfactory outcomes for his clients. Don has appeared and argued before the Missouri Court of Appeals Eastern and Western Districts, and the Florida District Courts of Appeal.

"Don is a sophisticated lawyer and a great fit for our firm," said Managing Partner <u>Richard Engel</u>. "We're pleased to see skilled lawyers such as Don join us and continue to grow their business and deliver high-quality work product. Our ability to anticipate our clients' most pressing business and legal concerns, and collaborate to generate innovative solutions and strategies, ultimately gives our clients a competitive edge."

In the regulatory arena, Don assists clients during their examinations, represents them during investigations, negotiates fair and appropriate resolutions, and has litigated against regulators in enforcement proceedings.

"Our financial services industry clients in St. Louis operate on a national scale while being among our largest local and regional corporate clients," said Partner and Corporate Services Practice Group Leader <u>Jennifer Byrne</u>. "Don is joining our talented team of experienced securities professionals in our

PEOPLE

Donald D. McBride

SERVICES AND INDUSTRIES

Securities Regulation and Litigation



Midwest and East Coast offices, allowing us to expand our complex regulatory litigation and advisory service offerings to our clients."

McBride is a member of the <u>Securities Industry and Financial Markets</u>
<u>Association (SIFMA)</u> Compliance and Legal Division and is a regular presenter at SIFMA's St. Louis Regional Seminar. Armstrong Teasdale's Securities Regulation and Litigation practice regularly provides thought leadership in connection with a variety of SIFMA <u>initiatives</u> and programs, and the firm is also a proud SIFMA associate member. SIFMA is the leading trade association for broker-dealers,

investment banks and asset managers operating in the U.S. and global capital

markets.

"AT's Securities Regulation and Litigation practice is anchored in both Missouri and Washington, D.C. I am delighted that Don is joining us in St. Louis and expanding our bench strength in a city that has a large broker-dealer footprint," said <u>A. Valerie Mirko</u>, partner and leader of the Securities Regulation and Litigation practice. "Don is an accomplished and respected FINRA practitioner with experience both complementary and additive to our practice. He also has extensive experience working with investment adviser clients, and we look forward to collaborating with him in serving both broker-dealer and investment adviser clients."

Prior to joining Armstrong Teasdale, McBride was the Co-Chair of the Financial Services Industry Group at his previous law firm, and prior to that he worked in the mutual funds department of a nationally known brokerage firm in St. Louis.